

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
21 December 2000 (21.12.2000)

PCT

(10) International Publication Number  
WO 00/76542 A1

(51) International Patent Classification<sup>7</sup>: A61K 39/395,  
35/28, C12N 5/10, 15/85, A61P 43/00

di Milano-Bicocca, Via Donizetti 106, I-20052 Monza  
(IT).

(21) International Application Number: PCT/EP00/05212

(74) Agents: MINOJA, Fabrizio et al.; Bianchetti Bracco Mi-  
noja SRL, Via Rossini 8, I-20122 Milano (IT).

(22) International Filing Date: 7 June 2000 (07.06.2000)

(81) Designated States (national): AE, AG, AL, AM, AT, AU,  
AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE,  
DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU,  
ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS,  
LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ,  
PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT,  
TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
MI99A001299 11 June 1999 (11.06.1999) IT

(84) Designated States (regional): ARIPO patent (GH, GM,  
KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian  
patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European  
patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE,  
IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG,  
CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

(72) Inventors; and

Published:

(75) Inventors/Applicants (for US only): GOLAY, Josee  
[CH/IT]; Laboratorio di Ummunoematologia Molecolare,  
Istituto Ricerche Farmacologiche Mario Negri, Via Eritrea  
62, I-20157 Milano (IT). INTRONA, Martino [IT/IT];  
Laboratorio di Immunoematologia Molecolare, Istituto  
Ricerche Farmacologiche Mario Negri, Via Eritrea 62,  
I-20157 Milano (IT). RAMBALDI, Alessandro [IT/IT];  
Divisione di Ematologia, Ospedali Riuniti, Largo Barozzi  
1, I-24128 Bergamo (IT). BIONDI, Andrea [IT/IT];  
Centro Ricerche Tettamanti, Clinica Pediatrica Università

- With international search report.
- Before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments.

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

WO 00/76542 A1

(54) Title: USE OF ANTIBODIES AGAINST CD20 FOR THE TREATMENT OF THE GRAFT VERSUS HOST DISEASE

(57) Abstract: It is described the use of antibodies against exogenous surface antigens not present on normal human T lymphocytes for the preparation of compositions for the treatment of the graft versus host disease in patients who have received T lymphocytes transduced with such exogenous surface antigens.

## USE OF ANTIBODIES AGAINST CD20 FOR THE TREATMENT OF THE GRAFT VERSUS HOST DISEASE

## SUMMARY OF THE INVENTION

5 The present invention refers to the use of antibodies against exogenous surface antigens not present on normal human T lymphocytes for the preparation of compositions for the treatment of the graft versus host disease in patients who have received T lymphocytes transduced with such exogenous surface antigens.

5 The invention further relates to vectors for the transfection of human T lymphocytes with exogenous surface antigens and human T lymphocytes transduced with exogenous surface antigens..

BACKGROUND

10 The problem of the clinical relapses in patients with hematologic neoplasias (leukaemia and lymphomas) represents an increasingly important problem. A precise therapeutic role has been assigned for many years to the transplantation procedures with total bone marrow or with circulating purified precursors (J.O.Armitage, Bone marrow transplantation, New England Journal of Medicine, 1994, 330, 827-838). The clinical efficacy of such procedures is partially based upon a mechanism of immune 15 recognition of the leukaemic cells of the host by the donor's T lymphocytes (GVL = Graft Versus Leukaemia) (M.Sykes, FASEB J., 10, 721-730, 1996). Nonetheless the transplants are characterised by many toxic effects including the immunologic reactivity of the donor's lymphocytes themselves against the normal tissues of the host (GVDH= Graft Versus Host Disease). In other words, the administration of T 20 lymphocytes to the host shows clear benefits associated with severe risks and it is impossible to pharmacologically separate these two aspects.

Although standardised immunoselection techniques allow today the easy production of large quantities of purified donor's T lymphocytes for administration in order to induce in vivo the GVL effect, appropriate techniques to pharmacologically

induce the selective death of the administered T lymphocytes in a patient in order to eliminate the GVHD effect in the moment in which this is clinically needed are not yet available.

In the last years many polyclonal and monoclonal antibodies have been produced  
5 against human surface molecules; in many cases antibodies have been produced with the direct aim of killing in vivo a cell positive for that molecule so to be utilised in immunotherapy protocols; as an example, limiting to the B lymphoma area, efficacious antibodies have been produced and characterised against the CD20, CD19, CD40, CD22, CD52, CD38 molecules and yet others (P.S.Multani et al.,  
10 J.Clin.Oncol., 16, 3691-3710, 1998). In some cases the antibodies directed against such molecules have shown in vivo cytotoxicity probably as they are able to activate the complement system on the surface of the target cell, as is the case with CD20, CD38, and CD52. In other cases antibodies have been conjugated with radioactive molecules to induce the target radiolysis, as is the case with CD20, Lym-1 and others.  
15 Other antibodies have been conjugated to toxins of bacterial or vegetable origin with the same aim, as is the case with CD19, CD40 and CD22. Other antibodies have been chimerised to allow a bispecificity so to bring two cells in close proximity, for example. Finally, for many of these antibodies engineered and/or humanised versions exist which allow to administer them in vivo reducing the risk of antigenicity and  
20 increasing their efficacy.

#### DISCLOSURE OF THE INVENTION

It has been now found that it is possible to effectively control the graft versus host disease problem by use of a method comprising the introduction of an exogenous surface antigen in the donor's T lymphocytes and the subsequent administration to the  
25 receiving patient of the antibodies directed against such exogenous antigen.

By exogenous antigen any surface antigen not present on normal T lymphocytes is meant, as is the case with the antigens expressed on the surface of B lymphocytes such as CD20, CD19, CD40, CD22, CD52 etc. etc. Obviously, the surface antigen will

be selected so as not not cause, following the reaction with the corresponding antibody, negative or unwanted effects at the level of the cellular populations which express constitutively the antigen.

It is particularly preferred the CD20 surface antigen of the human B lymphocytes  
5 against for which a humanised monoclonal antibody is commercially available (Rituximab ®, Roche) which is used in the treatment of B non Hodgkin lymphomas.

According to the invention, donor T lymphocytes are transduced by suitable  
techniques with the selected antigen and are then enriched through immunoaffinity  
methods before being injected to the receiving subject. In case the graft versus host  
10 disease develops, the antibody against the antigen is administered in order to  
inactivate in vivo the T lymphocytes by use, for example, of complement mediated  
cytotoxic mechanisms.

The antibody will preferably be monoclonal, more preferably it will be a  
humanised monoclonal antibody. Dosages and administration route will depend on  
15 many factors including overall health status, weight, sex and age of the patient.  
Generally the antibody will be administered by iv route in a dosage range from  
approximately 50 to approximately 500 mg/m<sup>2</sup> of body surface, one to three times a  
day until the almost complete disappearance of the circulating T lymphocytes.

The isolation of T lymphocytes has been described by Rambaldi et al., Blood,  
20 91, 2189-2196, 1998.

The methods to transduce the T lymphocytes with the desired antigen are well  
known: as a reference see the review by Verma I.M. and Somia N. in Nature, 389,  
239-242, 1997. In particular, suitable vectors can be used, such as retroviruses,  
adenoviruses, adeno associated viruses, herpesviruses, lentiviruses etc. etc.

25 Each of these vectors includes, in its turn, many different types of organisms:  
considering retroviruses, examples are amphotropic, ecotropic and xenotropic vectors.  
Furthermore many different packaging cell lines have been utilised in the years to  
optimise the production of such recombinant retroviruses and to guarantee better

handling and safety for the producers (I.M.Verma et al., *Nature*, 389, 239-242, 1997; M.A.Kay et al., *Gene therapy*, *Proc. Natl. Acad. Sci.USA*, 94, 12744-12746,1997).

Recently, also naked DNA has been introduced into target cells through conjugation with polycationic or liposomal complexes, electroporation, precipitation in salt buffers and other techniques.

Many different cell types have been targeted with genetic transfer: T and B lymphocytes, immature haematopoetic precursors, muscle cells, fibroblasts, hepatocytes and other cell types (I.M. Verma et al., *Nature*, 389, 239-242, 1997; M.A. Kay et al., *Gene therapy*, *Proc. Natl. Acad. Sci. USA*, 94, 12744-12746, 1997)

In the case of CD20 antigen, an amphotropic retrovirus has been used which derives from the Moloney murine leukaemia virus and is packaged in embryonic kidney human cells (293 T) engineerized to contain the retroviral structural elements on separate plasmids (*Human Gene Therapy*, 7, 1405-1413,1996). Such vectors, as well as the T lymphocytes transduced with the exogenous antigen, in particular the CD20+ T lymphocytes, are an object of the present invention.

After the genetic transfer the cells which express significantly the exogenous gene constitute only a minority of the total population. Selection procedures of the transduced cells are carried out, by use of exogenous genes which are able to give a selective advantage to the cell. The transduced cells can also be selected according to alternative methods such as FACS sorting with antibodies against the exogenous antigens (K.Phillips, et al., *Nature Medicine*, 2, 10, 1154-1155, 1996). Other methods are immunoaffinity columns or preadsorbed culture plates for the panning procedure, and the like.

#### Description of the figures

Figure 1: scheme of the plasmid LTR CD20 LTR;

LTR = long terminal repeat; pUC = plasmid origin of replication; Puro = gene which confers puromycin resistance; PGK1 = promoter of the phosphoglyceraldehyde kinase; EBNA1 and OriP = elements derived from the EBV virus for the episomal

replication; AmpR = gene for the ampicillin resistance.

Figure 2: infection of the CEM cell line with CD20 and immunoselection.

Left panel A: CEM cell line after virus infection, analysed at the cytofluorimeter with a fluorescent control IgG1 antibody.

5 Central panel B: the same population analysed with a fluorescent anti CD20 antibody.

Right panel C: the same population after immunoselection on affinity columns, analysed with a fluorescent anti CD20 antibody.

Figure 3: infection of human fresh T lymphocytes with CD20 virus

10 Left panel A: after the infection the lymphocytes are labelled with PE IgG2a and FITC IgG1 control antibodies.

Right panel B: same population is labelled with anti CD20 PE and anti CD3 FITC antibodies. In the shown case 23% of the cells are double positive.

The following examples illustrate the invention in greater detail

### 15 Example 1

#### Construction of the plasmid LTR CD20 LTR

A 913 nt fragment from the human CD20 cDNA containing the entire coding sequence has been obtained by PCR from the plasmid pCMV CD20 (Becker et al., Science, 249, 912-915, 1990).

20 For the amplification, 40 ng of plasmid were brought in a final reaction volume of 100  $\mu$ l in 10 mM KCl, 10 mM (NH4)2SO4, 20 mM Tris HCl, pH 8.75, 2 mM MgSO4, 0.1% Triton X-100, 100  $\mu$ g/ml BSA, in the presence of 0.8  $\mu$ l of a solution of 2.5 mM dNTP, 500 ng of primer "sense" (CGGGATCCAAAATGACAACACCCAGAAATTC), 500 ng of primer "antisense" (CGGGATCCTTAAGGAGAGCTGTCATTTCT) and 5U Pfu DNA Polymerase 25 from Stratagene (La Jolla, CA, USA). The reaction was carried out for 26 cycles in the cycler following this scheme: 1' at 95°C, 1' at 60°C and 2' at 72°C. At the end of the reaction 100  $\mu$ l of a 25:24:1 phenol chloroform and isoamyl alcohol solution were

added and after extraction, DNA was precipitated overnight at 20°C in the presence of ethanol. After centrifugation, DNA was resuspended in 100 µl water and then subcloned in the pMOS vector (Amersham Italia, srl, Italy) according to the manufacturer's instructions contained in the kit "pMOS blunt ended cloning kit". The resulting recombinant plasmid was amplified and sequenced, then digested with BamHI whose recognition site (G/GATCC) was present in both PCR primers' ends. Therefore the fragment was subcloned in the BamHI site of the retroviral vector PINCO VUOTO. The retroviral vector PINCO VUOTO had been previously obtained following excision with EcoRI and NotI of a 1441 bp fragment containing the CMV promoter (Cytomegalovirus) and the EGFP (enhanced green fluorescent protein) gene from the plasmid PINCO (F.Grignani e al., Cancer Res., 58, 14-19, 1998). After excision of the EcoRI-NotI fragment, the plasmid was closed after end blunting with Klenow fragment and called PINCO VUOTO. Such retroviral vector is now of 11448 bp in length.

The recombinant between PINCO VUOTO and the CD20 cDNA was called LTR-CD20-LTR and sequenced to check the cloning and the integrity of the CD20 cDNA as well as the absence of stop codons upstream the first ATG (Fig.1).

The construct LTR-CD20-LTR is therefore made of, for the retroviral portion, the LTR derived from the Moloney murine leukaemia virus (MoMLV), other retroviral sequences derived from the Moloney virus, the CD20 cDNA in the BamHI site and the second LTR as detailed in annexed Fig.1. The rest of the plasmid is identical to the PINCO plasmid (F.Grignani et al., Cancer Res., 58, 14-19, 1998) which contains, as shown in the figure, EBNA-1 and OriP elements from the Epstein Barr virus, the origin of replication (pUC) and the gene for the ampicillin resistance, as well as a gene for the puromycin resistance under the control of PGK-1 promoter.

### Example 2

Transfection of the LTR-CD20-LTR plasmid in the packaging cells

In order to produce retroviruses, the packaging cell Phoenix-Ampho was

transfected with the LTR-CD20-LTR plasmid.

The Phoenix-Ampho cells are derived from the human embryonic kidney 293 cell line following several modifications; initially they were transfected with the E1A gene from adenovirus and then transfected with two separate plasmids coding for the structural genes gag and pol from Moloney MLV under the control of Rous sarcoma virus promoter and the env gene from Moloney MLV under the control of cytomegalovirus promoter.

1.5 x 10<sup>6</sup> cells were plated on day -1 in a Petri dish of 10 cm diameter in 10 ml DMEM medium (Gibco, Seromed, Berlin, Germany) added with 10% FCS (Hyclone Laboratories, Steril System, Logan, UK) and kept in 5% CO<sub>2</sub> incubator at 37°C. On day 0 16 µl chloroquine were added (stock solution 25 mM in PBS) and after 10' 1 ml solution of 10 µg plasmid DNA was added. To obtain such DNA solution, 500 µl of a solution 2X HBS (50 mM HEPES, pH 7.05, 10 mM KCl, 12 mM Dextrose, 280 mM NaCl, 1.5 mM Na<sub>2</sub>HPO<sub>4</sub> (FW 141.96)) were added in a 15 ml conic tube. Subsequently, in a second 15 ml tube, 500 µl of a solution with 10 µg DNA, 61 µl CaCl 2M and sterile water were prepared. After that, the DNA mixture was added dropwise in the first tube and the obtained precipitated was then added to the cells.

After 8 hours the medium was replaced with 10 ml of fresh DMEM.

On day +1 the medium was replaced with 5 ml fresh RPMI 1640 medium added with 10% FCS.

On day +2 the infection was carried out by removing the 5 ml of medium containing the retroviruses released during the culture.

### Example 3

#### Infection of the CEM cell line with the LTR-CD20-LTR retrovirus

25 1 x 10<sup>6</sup> human T lymphoblastoid CEM cells growing in suspension in RPMI 1640 medium supplemented with 10% FCS and glutamine, were pelleted by spinning at 1200 rpm for 8' in a flat bottom well of a 24 wells plate (Falcon, Becton Dickinson and Company, NY). After removal of the supernatant, 1 ml of the viral supernatant

was added by filtration through 0.45 µm filters (Millipore Corporation Bedford, MA) in the presence of 1 µl Polybrene (stock solution 4 mg/ml in PBS).

The plate was then centrifuged for 45' at 1800 rpm at room temperature and then the supernatant was removed and replaced with 1 ml fresh RPMI 1640 added with 5 10% FCS and subsequently incubated for additional 6 hours.

At the end of the incubation the infection procedure was repeated a second time using a different Petri dish of packaging cells previously prepared.

#### **Example 4**

##### FACS analysis of CD20+ CEM

10 CEM cells following retroviral infection with LTR-CD20-LTR were kept in the incubator and normally grown in RPMI 1640 medium added with 10% FCS. After 2 days the CEM cells could already be assayed by immunofluorescence analysis for the presence of the CD20 marker on the surface.

15 0.1 x 10<sup>6</sup> cells were transferred in an 1.5 ml Eppendorf tube, spun at 4,000 rpm for 3', resuspended in 50 µl of a solution of fluorescent anti CD20 1F5 antibody (Becton Dickinson) and kept for 30' at 4°C. At the end, 500 µl of a solution 0.9% NaCl, 5% FCS, 0.02% Na Azide were added and cells were spun at 4,000 rpm for 5'. After that, the sample was resuspended in 100 µl of PBS solution containing 1% formaldehyde and then kept at 4°C until reading at the fluorocytometer.

20 In many experiments this infection procedure always gave CEM CD20+ cells in varying percentages from 30 to 60%, while the non infected cell line was completely negative for the CD20 expression (as an example see Fig. 2, central panel, showing a CEM population which became by 40% CD20+).

#### **Example 5**

##### Immunoaffinity separation

CEM cells infected with LTR-CD20-LTR virus after two days of culture could be enriched in the CD20+ population by immunoaffinity columns. To this purpose cells were first incubated for 30' at 4°C with the anti CD20 antibody clone 1F54, then

washed three times with PBS and 2.5% human serum albumin, finally incubated for additional 30' at 4°C with a solution of microbeads coated with a goat anti mouse IgG antibody (Milteny Biotech, Bergish-Gladbach, Germany).

Finally the cells were resuspended in medium RPMI 1640 and selected through passage on XS+ column in the SuperMACS system (Milteny Biotech). Then the column was eluted with physiologic solution added with 2.5% albumin and the column was removed from the SuperMACS and washed in order to recover the positive fraction.

The positive fraction was further analysed at the cytofluorimeter following cell labelling according to the direct immunofluorescence procedure previously described.

The percentage of CD20+ cells at the end of this procedure has always been above 90%. As an example see Fig.2, right panel, in which a CEM population is shown after enrichment by immunoaffinity which is CD20+ positive at 98%.

At the end the CEM CD20+ population was grown in suspension and expanded in medium RPMI 1640 added with 10% FCS in incubator. At regular intervals this population was studied for the expression of the CD20 marker on the surface thus showing the stability of the marker for more than two months and the positivity on more than 90% of the selected cells.

#### **Example 6**

Infection with the LTR-CD20-LTR virus of peripheral fresh T lymphocytes

Heparinised total blood was stratified over Ficoll and centrifuged for 30' at 1,500 rpm at room temperature. The cells collected at the interface were washed with PBS and spun at 1,500 rpm for 10' at room temperature, then two further times at 1,000 rpm for 10' at room temperature and finally resuspended in RPMI 1640 with 10% FCS at  $1 \times 10^6$  / ml in 24 wells plates with flat bottoms, aliquoting 2 ml of cell suspension per well in the presence of PHA (Murex) at 1  $\mu$ g/ml at 37°C and 5% CO<sub>2</sub> for one night.

The second day human recombinant IL-2 was added (Proleukin, Chiron Italia,

Milan, Italy) at the final concentration of 100U/ml.

At the third day, after washing and cell countings,  $1 \times 10^6$  cells were infected in 1 ml of medium in one flat bottom well in a 24 wells plate. After spinning at 1,200 rpm for 10', the supernatant was removed and replaced with 1 ml filtered virus in the presence of polybrene and subsequent spinning for 45' at 1,800 rpm at room temperature as from the above referred protocol.

At the end, the viral supernatant was removed and replaced with complete medium for 6 hours incubation and then the spin infection procedure was repeated. After that, cells were resuspended in complete medium in the presence of IL-2 and left 10 to stand in the incubator overnight.

The entire procedure was repeated for the following two days and finally the cells were kept in culture for two additional days in incubator.

Then the cells were labelled with monoclonal antibodies anti CD20 FITC, anti CD3 PE, anti CD4 PE, and anti CD8 FITC (Becton Dickinson) with the same 15 procedure described above and then analysed at the cytofluorimeter.

Many experiments on normal donors show that a varying percentage from 5% to 25% of CD3+ T lymphocytes acquires the CD20 marker in double fluorescence analysis. One such experiment is shown in Fig. 3, in this specific case 23% CD3/CD20 double positivity having been attained.

## 20 Example 7

Study of the lysis induced by antibody and complement in populations of fresh human T lymphocytes after CD20 gene transduction.

2 x 10<sup>5</sup> transduced lymphocytes were aliquoted in 10 ml round bottomed tubes in 500  $\mu$ l of RPMI 1640 medium added with 10% heat inactivated foetal calf serum. 25 Then the Rituximab antibody was added to the final concentration of 350 g/ml and rabbit Pel freeze complement at final 10%.

Alternatively, human AB serum at the final 30% concentration can be added as a source of complement. Cells were left for one hour at 37°C in a thermostatized water

bath with continuous shaking. The cell suspension was added with an equal volume of 1X solution of acridine orange in PBS (stock 100 X solution consisting of 30 mg in 100 ml distilled water) and the cell suspension was evaluated at the cytofluorimeter: the living cells emit green fluorescence and were counted as percentage on the total population analysed. With this quick method, the killing efficiency of Rituximab® on the CD20+ cells could be assessed, comparing the percentages of double positive CD3/CD20 cells in the different studied populations and the percentages of dead cells after Rituximab® addition. As shown in the Table, the control populations were the same cells exposed to the antibody alone or to complement alone. Data shown in the table prove that one hour exposure to Rituximab® induces almost 90% death of the CD3/CD20 + cells.

Table: Complement-dependent cytotoxicity of CD20 transduced fresh human T lymphocytes

|         | % specific lysis        |            |            |            |
|---------|-------------------------|------------|------------|------------|
|         | % CD3/CD20+ lymphocytes | Rituximab® | Complement | Rituximab® |
|         |                         | Alone      | alone      | Plus       |
|         |                         |            | Complement |            |
| Donor 1 | 30                      | 0          | 14         | 33         |
| Donor 2 | 23                      | 0          | 11         | 35         |
| Donor 3 | 15                      | 0          | 5          | 18         |

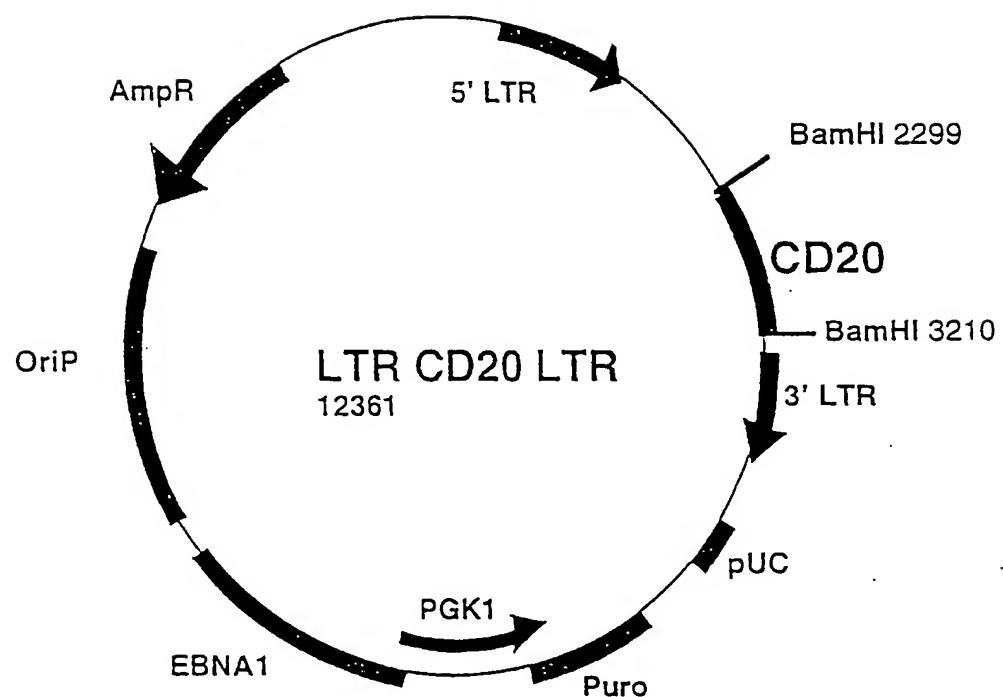
The lysis percentage was determined at the FACS following staining with acridine.

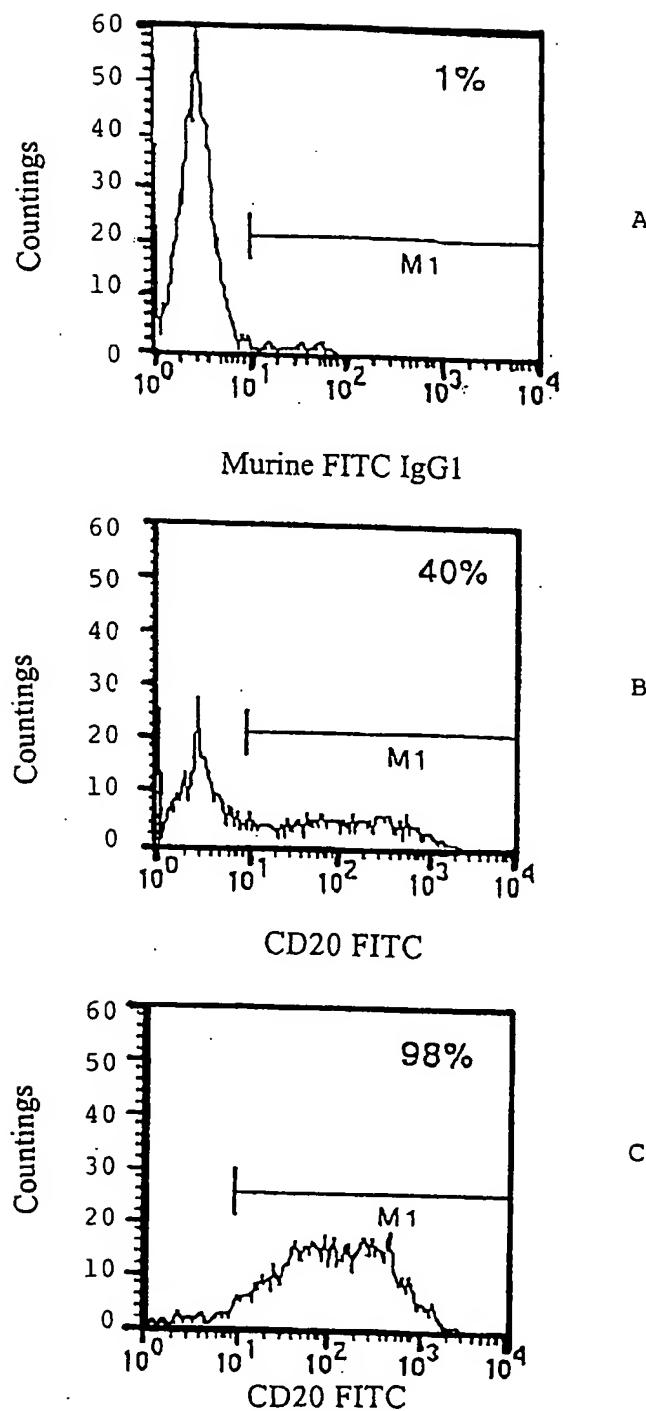
**CLAIMS**

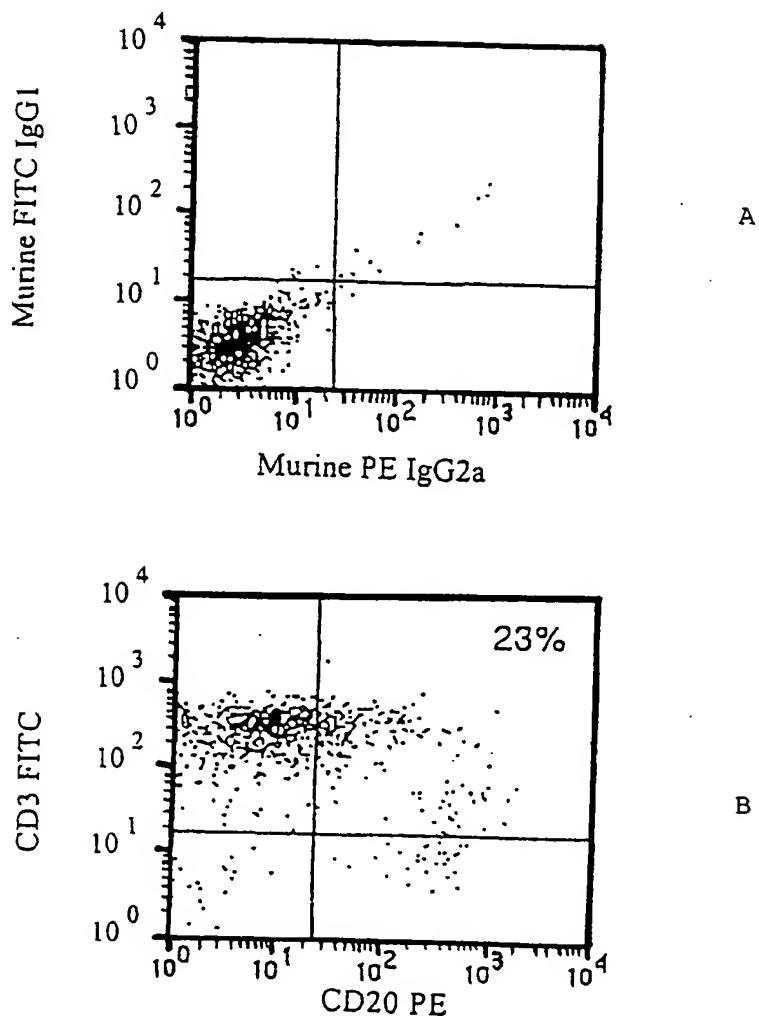
1. The use of antibodies against exogenous surface antigens not present on human normal T lymphocytes, for the preparation of compositions for the treatment of the graft versus host disease in patients who have received T lymphocytes transduced with such exogenous surface antigens.
- 5 2. The use according to claim 1, wherein antibodies against the CD20 surface antigen and lymphocytes transduced with the CD20 antigen are used.
3. The use according to claim 2, wherein the anti CD20 antibody is a humanised 10 monoclonal antibody.
4. Vectors for the transfection of human T lymphocytes with exogenous surface antigens.
5. Vectors according to claim 4 including the gene coding for the human CD20 antigen.
- 15 6. Human T lymphocytes transduced with exogenous surface antigens.
7. T lymphocytes according to claim 6 transduced with human CD20 antigen.

1 / 3

FIG 1



2 / 3  
FIG 2

3 / 3  
FIG 3

## INTERNATIONAL SEARCH REPORT

Inte. tonal Application No

PCT/EP 00/05212

|                                     |            |           |          |           |           |
|-------------------------------------|------------|-----------|----------|-----------|-----------|
| A. CLASSIFICATION OF SUBJECT MATTER |            |           |          |           |           |
| IPC 7                               | A61K39/395 | A61K35/28 | C12N5/10 | C12N15/85 | A61P43/00 |

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

|   |
|---|
| Minimum documentation searched (classification system followed by classification symbols) |
| IPC 7 C12N A61K C07K  |

|   |
|---|
| Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched |
|---|

|  |
|--|
| Electronic data base consulted during the international search (name of data base and, where practical, search terms used) |
|--|

|  |
|--|
| MEDLINE, WPI Data, EPO-Internal, PAJ, BIOSIS |
|--|

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

| Category | Citation of document, with indication, where appropriate, of the relevant passages  | Relevant to claim No. |
|----------|---|-----------------------|
| X        | VERZELETTI SIMONA ET AL: "Herpes simplex virus thymidine kinase gene transfer for controlled graft-versus-host disease and graft-versus-leukemia: Clinical follow-up and improved new vectors." HUMAN GENE THERAPY, vol. 9, no. 15, 10 October 1998 (1998-10-10), pages 2243-2251, XP000946824 ISSN: 1043-0342 abstract page 2244, left-hand column, line 18-37, 51-56 page 2244, right-hand column, line 25-54 | 4, 6                  |
| A        | WO 97 45142 A (GENETIC THERAPY INC) 4 December 1997 (1997-12-04) page 6, paragraph 2 -page 7, line 3  | 1-7                   |
|          |   | -/-                   |

Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

## \* Special categories of cited documents :

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- \*&\* document member of the same patent family

|   |  |
|---|--|
| Date of the actual completion of the international search | Date of mailing of the international search report |
|---|--|

|                |            |
|----------------|------------|
| 4 October 2000 | 20/10/2000 |
|----------------|------------|

|  |                    |
|--|--------------------|
| Name and mailing address of the ISA<br>European Patent Office, P.B. 5818 Patentdaan 2<br>NL - 2280 HV Rijswijk<br>Tel. (+31-70) 340-2040, Tx. 31 651 epo nl.<br>Fax: (+31-70) 340-3016 | Authorized officer |
|--|--------------------|

|           |
|-----------|
| Covone, M |
|-----------|

## INTERNATIONAL SEARCH REPORT

Int'l Application No

PCT/EP 00/05212

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

| Category * | Citation of document, with indication, where appropriate, of the relevant passages  | Relevant to claim No. |
|------------|---|-----------------------|
| A          | WO 98 42824 A (CELLFACTORS PLC ;DAVIES ALISON MIRIAM (GB))<br>1 October 1998 (1998-10-01)<br>page 2, line 8-15<br>page 8, line 14-19<br>claims 1-13<br>---  | 1-7                   |
| A          | DATABASE BIOSIS 'Online!<br>BIOSCIENCES INFORMATION SERVICE,<br>PHILADELPHIA, PA, US;<br>August 1998 (1998-08)<br>MC LAUGHLIN PETER ET AL: "Rituximab<br>chimeric anti-CD20 monoclonal antibody<br>therapy for relapsed indolent lymphoma:<br>Half of patients respond to a four-dose<br>treatment program."<br>Database accession no. PREV199800407092<br>XP002149247<br>abstract<br>& JOURNAL OF CLINICAL ONCOLOGY,<br>vol. 16, no. 8, August 1998 (1998-08),<br>pages 2825-2833,<br>ISSN: 0732-183X<br>--- | 1-7                   |
| P,X        | INTRONA MARTINO ET AL: "Genetic<br>modification of human T cells with CD20: A<br>strategy to purify and lyse transduced<br>cells with anti-CD20 antibodies."<br>HUMAN GENE THERAPY,<br>vol. 11, no. 4, 1 March 2000 (2000-03-01),<br>pages 611-620, XP000946823<br>ISSN: 1043-0342<br>the whole document<br>-----   | 1-7                   |

## INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/EP 00/05212

| Patent document cited in search report | Publication date | Patent family member(s) |           | Publication date |
|--|------------------|-------------------------|-----------|------------------|
| WO 9745142 A                           | 04-12-1997       | AU                      | 719930 B  | 18-05-2000       |
|  |                  | AU                      | 3079697 A | 05-01-1998       |
|  |                  | CA                      | 2255941 A | 04-12-1997       |
|  |                  | EP                      | 0977595 A | 09-02-2000       |
|  |                  | NO                      | 985522 A  | 25-01-1999       |
| WO 9842824 A                           | 01-10-1998       | AU                      | 6736598 A | 20-10-1998       |